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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/621,007	07/15/2003	Scott Davis	944-015.002	9112

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EXAMINER

HAN, QI

ART UNIT	PAPER NUMBER
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2626

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/05/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary	Application No.	Applicant(s)	
	10/621,007	DAVIS, SCOTT	
	Examiner	Art Unit	
	Qi Han	2626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 July 2003 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____. |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date ____. | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

Specification and Drawing

1. The disclosure is objected to because of the following informalities:
 - a. On page 2, paragraph 5, the terms “anther example” appears to be –another example--. Appropriate correction is required.
 - b. On page 13, paragraph 47, the referenced number 700 is not shown in the corresponding drawing of Fig. 7. Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claim 8-9 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 8, it recites the limitation “**the real-time input entry ...**” in the claim. There is insufficient antecedent basis for this limitation in the claim. As best understood in view of the claims, this claim will be interpreted as “The system of claim 5 (not claim 1)...” hereinafter.

Regarding claim 9, it recites the limitation “**the real-time input entry ...**” in the claim. There is insufficient antecedent basis for this limitation in the claim. As best understood in view of the claims, this claim will be interpreted as “The system of claim 5 (not claim 1)...” hereinafter.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-3, 10-13 and 17-21 are rejected under 35 U.S.C. 102(e) as being anticipated by CHUA (US 2004/0183833 A1).

As per **claim 1**, CHUA discloses ‘keyboard error reduction method and apparatus’ (title) for ‘small portable devices, such as mobile telephones, personal digital assistants (PDA)’ adopting ‘various method for entering symbols or data into them’, such as ‘voice recognition, hand writing recognition’ (paragraph (hereinafter referenced as p) 3), comprising:

“an input component receives a user input to be recognized” (p2, ‘such screens are not just used... to display data to user, but also as means (input component) for the user to input (receive) data’);

“a recognition component that analyzes the user input and identifies a subset of virtual key of a plurality of available virtual keys to concurrently convey to a user during the user input”, (p3, ‘voice recognition’, ‘hand writing recognition’ (recognition component); p7, ‘receiving input data identifying the selected position, indicated during the selection operation, and deciding on at least one candidate of the selected position relative to the representative position of a second plurality of the selectable portions (corresponding to a plurality of available

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virtual keys'; p17, 'mobile telephone with virtual keyboard and a touch screen', 'virtual keys', 'these candidate keys are then used to provide a set of potential words that would result from the input of any one of those keys'; p21-p23, 'the user touches one of the words (corresponding virtual keys) in the list display area 26 and the selected word then appears in the message line 24'; also see Fig.1);

"a rendering component that displays the subset of virtual keys to the user concurrently with receiving the user input", (p21-p23, 'the user touches one of the words (subset of virtual keys) in the list display area 26 and the selected word (receiving the user input) then appears (display) in the message line 24').

As per **claim 2** (depending on claim 1), CHUA further discloses "the input entry being voice" (p3, 'various method for entering symbols or data into them...voice recognition').

As per **claim 3** (depending on claim 1), CHUA further discloses "the input entry being handwriting" (p3, 'various method for entering symbols or data into them...hand writing recognition').

As per **claim 10** (depending on claim 1), CHUA further discloses "displaying N virtual keys, N being an integer, and N being a function of confidence associated with the analysis" (p81-105, 'the top six (N=6) scoring Wfreq (corresponding to function of confidence associated with the analysis) words for any possibility are chosen', 'the list... containing the top six candidate strings in score order', 'this list of words is then displayed').

As per **claim 11** (depending on claim 10), CHUA further discloses "the virtual keys being dynamically determined and/or inferred" (Fig. 1 and p81-105, wherein the content of the

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list display area 26 is changed based on input data, so as being dynamically determined and/or inferred as claimed).

As per **claim 12**, it recites a portable communication device. The rejection is based on the same reason described for claim 1, because the claim recites the same or similar limitation(s) as claim 1, wherein the mobile telephone disclosed by CHUA is read on the claimed portable communication device.

As per **claim 13**, it recites a portable computing device. The rejection is based on the same reason described for claim 1, because the claim recites the same or similar limitation(s) as claim 1, wherein the PDA disclosed by CHUA is read on the claimed portable computing device.

As per **claim 17**, it recites a portable computing device recognition method. The rejection is based on the same reason described for claim 1, because the claim recites the same or similar limitation(s) as claim 1.

As per **claim 18** (depending on claim 17), the rejection is based on the same reason described for claim 3, because the claim recites the same or similar limitation(s) as claim 3.

As per **claim 19** (depending on claim 17), the rejection is based on the same reason described for claims 10-11, because the claim recites the same or similar limitation(s) as claims 10-11.

As per **claim 20**, it recites a computer readable medium having stored computer executable instructions. The rejection is based on the same reason described for claim 17, because the claim recites the same or similar limitation(s) as claim 17.

As per **claim 21**, it recites portable computing device recognition system. The rejection is based on the same reason described for claim 1, because the claim recites the same or similar limitation(s) as claim 1.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over CHUA in view of LEWIS et al. (US 6,826,306) hereinafter referenced as LEWIS.

As per **claim 4** (depending on claim 1), CHUA does not expressly disclose “a data store having stored thereon a plurality of user profiles that the recognition component employs in connection with the analysis”. However, the feature is well known in the art as evidenced by LEWIS who discloses ‘system and method for automatic quality assurance of user enrollment in a recognition system’ (title), comprising ‘conventional recognition system (e.g. handwriting and speech)’ including ‘memory 14 for storing text data and enrollment data (which is collected and stored for one or more users)’ that ‘is utilized during user enrollment to train user-dependent prototypes 22 (statistical model) ...for a given data recognition system’ (col. 3, lines 14-53), and allowing ‘a user to create a “user profile” during the initial phase of user enrollment...’ and ‘user profile ...determined to be specific to the user and automatically applied by the recognition engine ...’, (col. 5, lines 19-32), which suggests that one or more users can be enrolled in the

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recognition system and each user can have his own user profile, as claimed. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify CHUA by providing a mechanism for storing user profiles for a recognition system, as taught by LEWIS, for the purpose (motivation) of providing automatic quality assurance of a user enrollment in a recognition system (LEWIS: col. 2, lines 27-28).

5. Claims 5-6, 8-9 and 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over CHUA in view of LYON (US 6,480,621).

As per **claim 5** (depending on claim 1), CHUA does not expressly disclose “the recognition component utilizing an artificial intelligence component providing inference of possible real-time input entry”. However, the feature is well known in the art as evidenced by LYON who discloses ‘statistical classifier with reduced weight memory requirements’ (title), and teaches that ‘adaptive statistical classifiers are used for applications such as speech recognition, handwriting recognition and optical character recognition’, ‘in a handwriting recognition system, the input unit 12 can be any conventional device for capturing handwriting text such as optical character recognition (OCR) device or a digital tablet’, ‘in addition, for speech recognition, the input unit 12 may be a microphone’ (col. 1, lines 30-33), including ‘run-time (real time) operation of the classifier’ using ‘neural networks, Bayesian a posteriori probabilities, and pattern classification’ and ‘hybrid HMM/connectionist approach’ (corresponding to artificial intelligence) (col. 2, lines 44-62), and providing ‘real time recognition of handwriting’ having ‘training a mode to tune the operation of the classifier for a particular user’ (col. 15, lines 10-12). Therefore, it would have been obvious to one of ordinary

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skill in the art at the time the invention was made to modify CHUA by providing real time recognition for handwriting and/or speech with artificial intelligence approach, as taught by LYON, for the purpose (motivation) of reducing error for classifier outputs and/or improving recognition results (LYON: col. 3, lines 49-67).

As per **claim 6** (depending on claim 5), the rejection is based on the same reason described for claim 5, because the rejection for claim 5 covers the same or similar limitation(s) as claim 6.

As per **claim 8** (depending on claim 5), CHUA in view of LYON further discloses “the recognition component utilizing a starting point of the real-time input entry for determination and/or inference”, (CHUA: Fig. 2 and p22, ‘touch screen circuit 30’ with ‘horizontal and vertical sensors’ that ‘are arranged to detect the point of contact, the selected position, of a touch on the tough screen’, which necessarily and/or inherently includes starting point and/or end point for the input, as claimed).

As per **claim 9** (depending on claim 5), the rejection is based on the same reason described for claim 8, because the rejection for claim 8 covers the same or similar limitation(s) as claim 9.

As per **claim 14** (depending on claim 1), the rejection is based on the same reason described for claim 5, because the rejection for claim 5 covers the same or similar limitation(s) as claim 14.

As per **claim 15** (depending on claim 1), the rejection is based on the same reason described for claim 5, because the rejection for claim 5 covers the same or similar limitation(s) as claim 15.

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As per **claim 16** (depending on claim 15), the rejection is based on the same reason described for claim 5, because the rejection for claim 5 covers the same or similar limitation(s) as claim 16.

6. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over CHUA in view of LYON as applied to claim 5, and further in view of LEWIS.

As per **claim 7** (depending on claim 5), even though CHUA in view of LYON discloses the artificial intelligence component (as stated for claim 5, see above), CHUA in view of LYON does not expressly disclose “contemplating and/or accounting for quality-deterioration of the real-time input”. However, the feature is well known in the art as evidenced by LEWIS who discloses ‘system and method for automatic quality assurance of user enrollment in a recognition system’ (title), comprising ‘checks the quality of a new enrollment (real time input)’ (col. 2, lines 31-32), using ‘conventional recognition system (e.g. handwriting and speech)’, ‘for real time digitization and recognition’, including processing ‘handwriting data’ on ‘the input device at successive points in time (which is generated with an “online”/ “dynamic” pen-based computer)’ (col. 3, lines 13-49). LYON also discloses the enrollment data is utilized...to train user-dependent prototypes 22 (statistical model) (col. 3, lines 50-59) that corresponds to the artificial intelligence component, which further supports the claim rejection. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify CHUA by providing a mechanism for checking quality of enrollment data by using the artificial intelligence component (such as statistical model) for a recognition system, as taught by LEWIS,

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for the purpose (motivation) of providing automatic quality assurance of a user enrollment in a recognition system (LEWIS: col. 2, lines 27-28).

Conclusion

7. Please address mail to be delivered by the United States Postal Service (USPS) as follows:

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Qi Han whose telephone numbers is (571) 272-7604. The examiner can normally be reached on Monday through Thursday from 9:00 a.m. to 7:30 p.m. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richmond Dorvil, can be reached on (571) 272-7602.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Inquiries regarding the status of submissions relating to an application or questions on the Private PAIR system should be directed to the Electronic Business Center (EBC) at 866-217-9197 (toll-free) or 703-305-3028 between the hours of 6 a.m. and midnight Monday through Friday EST, or by e-mail at: ebc@uspto.gov. For general information about the PAIR system, see <http://pair-direct.uspto.gov>.

QH/qh
January 31, 2007



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